



FAQ's

Q. What are the email compliance requirements for my company/industry?

A. Please find below current regulations that organizations must adhere to from an email retention perspective. This list is constantly changing and expanding.

FINANCIAL SERVICES:

Brokers – Dealers / Exchange Members

- SEC Rule 17a-4
- NASD Rules 3110 & 3010, 2210, 2211, 2310, 2711, 3013
- NYSE Rules 440, 342, 472
- NASD 3510 (BCP)

Investment Advisors & Hedge Funds

- SEC Rule 202-4
- SEC Rule 206(4)-7
- SEC Rule 203(b)(3)-2

Investment Dealers (IDA)

- IDA By-law 29.7
- UMIR Policy 7.1
- IDA By-law 17.19(BCP)
- OSC 52.111

Futures & Transfer Agents

- CFTC Rule 1.31
- NFA Rule 2.9
- SEC Regs. 17ad-6 & 17ad-7

PUBLIC & GLOBAL COMPANIES:

Sarbanes-Oxley Act & Bill 198 (Canada)
Graham-Leach-Bliley Act
PIPA & PIPEDA (Canada)
Patriot Act
UK & European Data Protection Acts

HEALTH CARE ORGANIZATIONS:

HIPAA

LAW FIRMS & LEGAL DEPARTMENTS:

In many cases today, companies are required to produce data (email, attachments, IM) in courts. Jatheon's Plug n Comply™ produces complete audit records on all email & IM history. For authenticity purposes, each email is given a unique DNA fingerprint that is time and date stamped to ensure that the email has never been manipulated.



Q. How does Jatheon's Plug n Comply™ solution search and retrieve emails?

A. The appliance indexes and archives the data as if it was a dictionary. When a search is performed, the data is searched against the dictionary or index file based on your search query. Only when the email is clicked on, the appliance then retrieves the email in an uncompressed format. This type of indexing allows for emails to be searched in a very quick and efficient manner.

Jatheon's technology allows on average for a 10 to 1 compression ratio on all data stored on the appliance.

Q. How long does the implementation take for Jatheon's Plug n Comply™ solution?

A. It takes only a few hours and **not days**. This is because the appliance is non-intrusive to the network layer. Our appliance requires minimal training and is simple to deploy, manage and operate.

Q. Is it possible to delete messages from Jatheon's Plug n Comply™?

A. No. All messages are stored in the appliance until the pre-determined expunge date. This date is decided by the champion of the email archiving initiative.

Q. Is there any hardware or software required for Jatheon's Plug n Comply™?

A. No. The appliance is a turn-key solution that comes equipped with all the hardware and software for email archiving.

Q. What email platforms does Jatheon's Plug n Comply™ support?

A. The appliance integrates with all the major email platforms: Microsoft Exchange, Lotus Notes, Novell Groupwise and Kerio.

Q. Is it possible to upload an existing archive?

A. Yes. Jatheon offers professional services for data migration

Q. Does a full audit trail exist?

A. Yes. All messages are time and date stamped. The appliance also offers authenticity features which include a DNA fingerprint to ensure that the email has not been manipulated.

Q. Does Jatheon's Plug n Comply™ archive all emails?

A. Yes. All emails that go through the email server are archived.

Q. Does Jatheon's Plug n Comply™ archive Instant Messaging and Bloomberg Mail?

A. Yes, we archive specific types of IM (Yahoo, MSN, AIM, ICQ, etc) and Bloomberg Mail.

Q. Does Jatheon's Plug n Comply™ solution impact the performance of the existing e-mail server?

A. No. Because the appliance is non-intrusive, it has no impact on the performance of your e-mail server.